

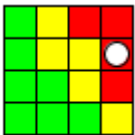
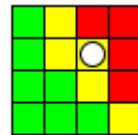
Top Red departmental risks - detailed report EXCLUDING COMPLETED ACTIONS

Report Author: Paul Dudley

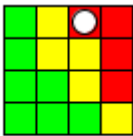
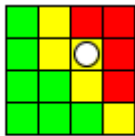

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Rows are sorted by Risk Score

Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
MAN CCC 001 Fire Safety	<p>Cause: Lack of management and maintenance focus on fire safety at both Mansion House and CCC e.g. Fire safety risk assessments not current and/ or works identified in the FRAs not acted upon, ageing fire safety systems, evacuation procedures out of date</p> <p>Event: Major fire at either Mansion House or CCC</p> <p>Effects: Potential harm/injury to staff, visitors, contractors Breach of H&S and fire legislation and possible prosecution by HSE Loss of business-critical information Either location out of use for indeterminate period of time Disruption to both the House and court operations Loss of income Civil insurance claim</p>	 <p>Likelihood</p> <p>Impact</p>	24	<p>A follow-up inspection by LFB took place on 5 March 2018 and they indicated that they were happy with the progress made by the new management team at CCC. No enforcement notice would be issued and LFB are due to return again in May 2018.</p> <p>The LFB are now due to visit again on 26 June 2018. Further progress on achieving a state of compliance has been made since the March visit.</p>	 <p>Likelihood</p> <p>Impact</p>	12	21-Dec-2018	Constant
12-Dec-2017 Vic Annells; Adam Rout				29 May 2018				

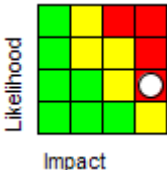
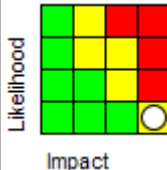
Action no	Description	Latest Note	Action owner	Latest Note Date	Due Date
MAN CCC 001 a	This follows on from the fire door survey already carried out by the FPA. It will assess the current mitigation in place to prevent/stop the spread of fire in the CCC and produce recommendations as to how this can be improved.	This is the second of five survey that will be carried out as part of the overall fire safety improvement plan at the CCC	Wayne Garrigan	06-Jun-2018	26-Jul-2018
MAN CCC 001 b	In conjunction with CSD, set up and run project board to develop and implement action plan.	Last meeting was held on 5 June 2018. Board will now meet as and when required.	Vic Annells; Adam Rout	06-Jun-2018	31-Dec-2018
MAN CCC 001 c	The appointed consultant has started work on producing an overall strategy for the CCC. This will include effective evacuation procedures that will take into account the specific factors related to a criminal court and this particular building. The plans will be subject to thorough testing before they are approved.	The consultant has made good progress on this in a short space of time.	Wayne Garrigan	06-Jun-2018	09-Aug-2018

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OSD 006 Impact of development summary risk 30-Aug-2017 Colin Buttery	This risk summaries the risks associated with housing and/or transport development across the Open Spaces Department. Cause: Pressure on housing and infrastructure in London and South East; failure to monitor planning applications and challenge them appropriately; challenge unsuccessful; lack of resources to employ specialist support or carry out necessary monitoring/research, lack of partnership working with Planning Authorities Event: Major development near an open space Impact: Increase in visitor numbers, permanent environmental damage to plants, landscape and wildlife, air and light pollution, ground compaction and resulting associated effects on tree and plant health. Wear and tear to sports pitches. Lack of budget to facilitate repairs, potential for encroachment. This risk is felt to be of departmental concern due to the	 Likelihood	16 Impact	Risk remains at red. Sites are actively monitoring the impact of development. 22 May 2018	 Likelihood	12 Impact	30-Apr-2020	 Constant

high level of work required across the open space divisions to defend against the impact of development and the serious nature of the impact. The actions for this risk are the open actions from each of the divisional risk registers.						
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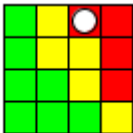

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OSD EF 010 a	Epping Forest DC local plan - Attend meetings and respond to consultation on the local plan so that can influence the content of the plan and the Memorandum of Understanding between EFDC and Natural England LB Redbridge core strategy and other LA actions plans - respond to any further consultation.	Mitigation strategy in place. Ongoing implementation work action.	Jeremy Dagley	28-Mar-2018	30-Apr-2020
OSd EF 010 c	Negotiate renewal with Essex County Council and extend to cover London Borough's	Still on Essex radar with traffic modelling works undertaken. Agree a forest transport strategy to agree mitigation strategy.	Jeremy Dagley	30-Aug-2017	10-Mar-2019
OSD NLOS 011 a	Maintain a close partnership with Planning Authorities. Supt and Officers in contact with the London Borough of Camden, Barnet and Haringey in regard to planning issues which may impact the open spaces.	Ongoing, division to make representation as necessary.	Richard Gentry	28-Mar-2018	31-Mar-2020
OSD NLOS 011 b	Respond to consultation on the local plans to help influence the content of the documents.	Ongoing. Response to planning issues as necessary. No change.	Richard Gentry	28-Mar-2018	31-Mar-2020
OSD NLOS 011 c	A Consultant is monitoring planning activity and will assist the Superintendent with specialist support in regard to resisting planning applications that impact on the Open Spaces.	Division continues to monitor planning issues.	Richard Gentry	28-Mar-2018	27-Jul-2020
OSD P&G 007 a	Attendance at meetings and respond to consultation on the local plans to help influence the content of the document.	Relationship with planning colleagues in the city continues - ongoing action.	Lucy Murphy; Martin Rodman; Jake Tibbets	28-Mar-2018	31-Mar-2020
OSD P&G 007 b	Maintain a close partnership with planning authorities including (but not limited to) Newham, Islington, Camden, and Tower Hamlets.	Ongoing risk action based on responding appropriately to relevant planning issues. Developments by Islington around Bunhill Fields are being monitored.		28-Mar-2018	31-Dec-2020
OSD TC 002 a	Inclusion in core strategy planning documents - where applicable	Monitoring activity continues - ongoing action.	Hadyn Robson	28-Mar-2018	31-Mar-2020

	Close partnership working with local planning authorities Active monitoring of planning applications with responses as appropriate All ongoing and/or as and when				
OSD TC 002 b	Active monitoring of pollution where possible Active monitoring of environmental impacts - where possible Undertake research - where appropriate and where resources allow Ongoing	Ongoing action - monitoring of impact of visitors and other possible stressors continues.	Hadyn Robson	28-Mar-2018	31-Mar-2020

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OSD 007 Maintaining the City's water bodies summary risk 30-Aug-2017	This risk summaries the property maintenance risks across the Open Spaces Department. The City is responsible for a number of water bodies, some of which are classified as "Large Raised Reservoirs" under the provisions of the Reservoirs Act 1975 and the Flood & Water Management Act 2010. Failure to adequately manage and maintain the City's reservoirs and dams could result in leaks, dam collapse or breach. For some of the City's large raised reservoirs there is the potential for loss of life, damage to property and infrastructure in the event of dam collapse or breach, and the associated reputational damage. This risk is felt to be of departmental concern due to potential for serious consequences, the possibility of legislative change and the possibility that significant capital projects could be required. The actions for this risk are the open actions from each of the divisional risk registers.		16	Risk remains at red. Target date moved to 2022 to reflect planning process for works to the relevant water bodies, which include: - Hampstead Heath ponds - Five statutory large raised reservoirs at Epping Forest - Burnham Beeches ponds 22 May 2018		8	31-Mar-2022	Constant

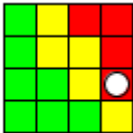


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OSD EF 004 a	Statutory inspection visits by engineer - 6 monthly in May and October	Inspection booked for June 2018. EA have confirmed the LRR at Wanstead Park at High Risk. We are awaiting a visit from the Panel engineer in June to find out what the implications may be. Estimate of £5-10 million upgrade costs have been made by DBE	Martin Newnham; Geoff Sinclair	29-May-2018	30-Apr-2020
OSD EF 004 b	Complete works on the Eagle ponds and obtain approval for distribution of responsibilities. Survey the outward toe of the dam pending decision on shared responsibility with London Borough of Redbridge	Conservation statement complete. No actions pending	Geoff Sinclair	29-May-2018	31-Dec-2018
OSD EF 004 c	Weekly inspection of reservoirs / dam. Review the use of penstock gates	Ongoing action.	Martin Newnham	29-Mar-2018	08-Apr-2020
OSD EF 004 e	Undertake scoping evaluations for Baldwins Pond and Birch Hall Park Pond	Ongoing action. Still awaiting further work.	Geoff Sinclair	03-Apr-2018	31-Dec-2018
OSD TC 006 a	Condition assessments carried out and options provided for approval Options costed Gateway 4 report drafted - Sept 16	Project at The Commons remains a goal for the future. Ongoing action to mitigate risk, to be updated as project moves forward.	Hadyn Robson	28-Mar-2018	31-Dec-2022
OSD TC 006 b	Inspections / monitoring of outflow condition Ongoing	All water bodies are actively monitored by relevant authorities within the City to ensure they comply with legislation.	Hadyn Robson	28-Mar-2018	31-Mar-2020

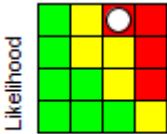
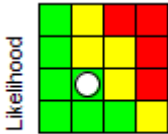

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SUR SMT 006 City Bridges: - Wanton Damage / Terrorism 19-Jun-2017 Paul Wilkinson	Cause: Wanton Damage / Terrorism Event: Structural damage to bridge/s Impact: Instability in bridge structure leading to possible collapse, reputational damage, disruption to traffic, additional costs to repair / replace disruption to traffic, additional costs to repair / replace	 Likelihood Impact	16	CSD is working with internal partners, particularly DBE, to improve ongoing management and communication of actions associated with this risk. This will include - Inspections - Maintenance reports - Areas of concern A workshop is planned for July with relevant leads from Built Environment, Chamberlain's, City Bridge Trust and City Surveyor's to consider our City Bridge Risks. A review of the City Bridges Risks and updates to risk and actions will follow after the workshop. 08 Jun 2018	 Likelihood Impact	12	31-Mar-2019	Constant

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SUR SMT 006a	Counter Terrorism	<p>There is Police presence, but the bridges are located outside the security cordon. Tower Bridge has cameras and security requirements for visitors</p> <p>Temporary mitigation by the Metropolitan Police is in place, but this is not full Hostile Vehicle Mitigation -discussions are on-going with various authorities to identify next steps.</p> <p>To be reviewed again in July 2018</p>	Nicholas Gill; Ian Hughes; Paul Monaghan	08-Jun-2018	31-Mar-2019
SUR SMT 006b	Traffic Management	<p>Paul Monaghan has spoken to TFL in connection with traffic management contingency plans. TFL have a sequence of plans in place depending on the situation which arises. Paul is an on-</p>	Nicholas Gill; Paul	08-Jun-2018	31-Mar-2019

SUR SMT 007a	Asset protection Agreements	CSD to negotiate asset protection Agreements in conjunction with Comptroller and City Solicitor. To be reviewed July 2018.	Paul Monaghan	08-Jun-2018	31-Mar-2019
SUR SMT 007b	Reputation and Traffic management	DBE have appraised TFL of the potential impacts of bridge closures and suggest that TFL have appropriate contingency plans in place. This was with the view that mitigation plans could be developed. However, TFL advised that they do not define contingencies prior to an event, as this is dependent on the availability of alternative routes. To be reviewed July 2018.	Paul Monaghan	08-Jun-2018	31-Mar-2019

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SUR SMT 008 City Bridges: - Substantial vessel strikes 19-Jun-2017 Paul Wilkinson	Cause: Substantial Vessel strike Event: Structural damage to bridge Impact: Instability in bridge structure leading to possible collapse. Death / injury, disruption of traffic, reputational damage, additional costs to repair / replace	Likelihood  Impact	16	CSD is working with internal partners, particularly DBE, to improve ongoing management and communication of actions associated with this risk. This will include - Inspections - Maintenance reports - Areas of concern A workshop is planned for July with relevant leads from Built Environment, Chamberlain's, City Bridge Trust and City Surveyor's to consider our City Bridge Risks. A review of the City Bridges Risks and updates to risk and actions will follow after the workshop. 08 Jun 2018	Likelihood  Impact	16	31-Mar-2018	 Constant

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SUR SMT 008a	Navigation controls	Navigation is controlled by the Port of London Authority and navigation lights fixed to bridges. Department of Built Environment to investigate further defences and report back to the City Surveyor. Paul Monaghan is an on-going member of the River Crossings Liaison Group and can update CSD at the quarterly meetings as appropriate as to any appropriate actions, activities, or change in the risk status of this item. to be reviewed July 2018.	Nicholas Gill; Paul Monaghan; Peter Young	08-Jun-2018	31-Mar-2019

Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
TC TCO 05 Customer Relationship Management System 20-Nov-2015 John Barradell; Simon Murrells; Damian Nussbaum	Cause: Outdated software that is no longer supported stops working or will no longer work with our network environment Event: Failure of the CRM system Effect: Loss of critical data, Contact Centre, C.O.D team unable to effectively carry out their duties.	 Likelihood Impact	16	The Corporation has procured a new system tailored to the needs of the Contact Centre ("Firmstep"). We are now implementing the new system and it should be operational by the end of July 22 Jun 2018	 Likelihood Impact	4	31-May-2018	 Constant

Action no	Description	Latest Note	Action owner	Latest Note Date	Due Date
TCTCO05D	The Corporation has procured a new system tailored to the needs of the Contact Centre ("Firmstep"). We are now implementing the new system and it should be operational by the end of July	The Corporation has procured a new system tailored to the needs of the Contact Centre ("Firmstep"). We are now implementing the new system and it should be operational by the end of July	Sam Collins	22-Jun-2018	30-Aug-2018

TCTCO05E	The Corporation has a procured a new platform (City Dynamics) and is configuring a new application tailored to the needs of strategic engagement and events management (SEEM) that will support compliance with new data protection law. The strategic engagement function will be implemented in early Summer, followed by the events management function in early Autumn. The solution for Strategic Engagement is currently undergoing System Testing and will be made available for UAT shortly.	The Corporation has a procured a new platform (City Dynamics) and is configuring a new application tailored to the needs of strategic engagement and events management (SEEM) that will support compliance with new data protection law. The strategic engagement function will be implemented in early Summer, followed by the events management function in early Autumn. The solution for Strategic Engagement is currently undergoing System Testing and will be made available for UAT shortly.	Damian Nussbaum	06-Apr-2018	30-Oct-2018
TCTCO05F	<p>A data retention and data migration approach have been proposed and are being considered by the City Dynamics governance group. Initial discussions took place last week but further consideration is required.</p> <p>The decision has been taken not to migrate data to Firmstep, but to start with a clean dataset for the Contact Centre.</p>	<p>A data retention and data migration approach have been proposed and are being considered by the City Dynamics governance group. Initial discussions took place last week but further consideration is required.</p> <p>The decision has been taken not to migrate data to Firmstep, but to start with a clean dataset for the Contact Centre.</p>	Simon Murrells	06-Apr-2018	30-Aug-2018